



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/314,958	05/20/1999	JUNICHI IIDA	P17948	5849

7055 7590 02/26/2003

GREENBLUM & BERNSTEIN, P.L.C.  
1950 ROLAND CLARKE PLACE  
RESTON, VA 20191

EXAMINER

POKRZYWA, JOSEPH R

ART UNIT PAPER NUMBER

2622

DATE MAILED: 02/26/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/314,958

Applicant(s)

IIDA, JUNICHI

Examiner

Joseph R. Pokrzywa

Art Unit

2622

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 17 September 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 19-36 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 19-36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 May 1999 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 3-7,9.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Priority***

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### ***Information Disclosure Statement***

2. The references listed in the Information Disclosure Statements submitted on 7/27/99, 6/12/00, 11/13/00, 3/5/01, 4/19/01, and 8/15/02 have been considered by the examiner (see attached PTO-1449's).

### ***Drawings***

3. The drawings are objected to because of the problems addressed in the attached PTO-948. A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### ***Response to Preliminary Amendment***

4. Applicant's preliminary amendment was received on 9/17/02, and has been entered and made of record. Currently, **claims 19-36** are pending.

***Claim Rejections - 35 USC § 102***

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

6. **Claims 19-36** are rejected under 35 U.S.C. 102(e) as being anticipated by Ohta (U.S. Patent Number 6,396,848).

Regarding **claim 19**, Ohta discloses a communication apparatus (facsimile apparatus 2, seen in Figs. 1 and 2) connected to a terminal apparatus (client terminals 4a and 4b, column 5, lines 18 through 37) via a network (see abstract), with the communication apparatus (facsimile apparatus 2) comprising an e-mail transmitter that performs an e-mail transmission to a destination (LAN communications controller 27, column 7, lines 26 through 38, and column 8, line 62 through column 9, line 9), a file generator that generates a communication result file (history report) that includes a communication result of the e-mail transmission performed by the e-mail transmitter (see Fig. 7, column 12, line 60 through column 13, line 8, and column 13, line

Art Unit: 2622

58 through column 14, line 22), a memory (hard disk drive 25, column 7, lines 11 through 25) that stores the communication result file as a structured document (see Figs. 7 and 9, column 13, line 58 through column 14, line 22), and a server (LAN communications controller 27, column 7, lines 26 through 38) that transmits the communication result file to the terminal apparatus when a request for the communication result file is received from the terminal apparatus (see Fig. 14, column 18, lines 20 through 51), the communication result file being displayable at the terminal apparatus (column 18, lines 52 through 64).

Regarding *claim 20*, Ohta discloses the apparatus discussed above in claim 19, and further teaches that the communication result file comprises a plurality of communication results (see Figs. 7 and 9, column 13, line 66 through column 14, line 63).

Regarding *claim 21*, Ohta discloses the apparatus discussed above in claim 19, and further teaches that the communication result file comprises at least one of a communication date and a destination associated with a communication result (see Figs. 7 and 9, column 13, line 66 through column 14, line 63).

Regarding *claim 22*, Ohta discloses the apparatus discussed above in claim 19, and further teaches that the file generator generates a communication result as the structured document to update the communication result file in the memory when the e-mail transmitter performs an e-mail transmission (column 12, line 34 through column 13, line 28).

Regarding *claim 23*, Ohta discloses the apparatus discussed above in claim 19, and further teaches that the memory stores a main file including a mark indicating a request for the communication result file (column 11, lines 29 through 46, and column 14, lines 47 through 63), and the server transmits the main file to the terminal apparatus (column 14, lines 47 through 63).

Regarding *claim 24*, Ohta discloses the apparatus discussed above in claim 23, and further teaches that the request for the communication result file is performed by clicking the mark on the main file at the terminal apparatus (column 11, lines 29 through 46, and column 14, lines 47 through 63).

Regarding *claim 25*, Ohta discloses the apparatus discussed above in claim 19, and further teaches that the communication result file is stored in the memory as a HTML file (column 12, line 50 through column 13, line 8, column 13, lines 41 through 57, and column 14, lines 24 through 46).

Regarding *claim 26*, Ohta discloses a communication apparatus (facsimile apparatus 2, seen in Figs. 1 and 2) connected to a terminal apparatus (client terminals 4a and 4b, column 5, lines 18 through 37) via a network (see abstract), with the communication apparatus (facsimile apparatus 2) comprising an facsimile transmitter that performs a facsimile transmission to a destination via a telephone network (network controller 31, column 7, line 59 through column 8, line 18, and column 11, line 47 through column 12, line 33), a file generator that generates a communication result file (history report) that includes a communication result of the facsimile transmission performed by the facsimile transmitter (see Fig. 7, column 12, line 60 through column 13, line 8, and column 13, line 58 through column 14, line 22), a memory (hard disk drive 25, column 7, lines 11 through 25) that stores the communication result file as a structured document (see Figs. 7 and 9, column 13, line 58 through column 14, line 22), and a server (LAN communications controller 27, column 7, lines 26 through 38) that transmits the communication result file to the terminal apparatus when a request for the communication result file is received

Art Unit: 2622

from the terminal apparatus (see Fig. 14, column 18, lines 20 through 51), the communication result file being displayable at the terminal apparatus (column 18, lines 52 through 64).

Regarding *claim 27*, Ohta discloses the apparatus discussed above in claim 26, and further teaches that the communication result file comprises at least one of a communication date, a destination, a number of sheets, a communication duration time and a charge, associated with a communication result (see Figs. 7 and 9, column 13, line 66 through column 14, line 63).

Regarding *claim 28*, Ohta discloses a method for checking a communication result of a communication apparatus (facsimile apparatus 2, seen in Figs. 1 and 2) connected to a terminal apparatus (client terminals 4a and 4b, column 5, lines 18 through 37) via a network (see abstract), with the method comprising performing an e-mail transmission to a destination (LAN communications controller 27, column 7, lines 26 through 38, and column 8, line 62 through column 9, line 9), generating a communication result file (history report) including a communication result of the e-mail transmission performed (see Fig. 7, column 12, line 60 through column 13, line 8, and column 13, line 58 through column 14, line 22), storing the communication result file as a structured document (see Figs. 7 and 9, column 13, line 58 through column 14, line 22) in a memory (hard disk drive 25, column 7, lines 11 through 25), and transmitting the communication result file to the terminal apparatus when a request for the communication result file is received from the terminal apparatus (see Fig. 14, column 18, lines 20 through 51), the communication result file being displayable at the terminal apparatus (column 18, lines 52 through 64).

Regarding **claim 29**, Ohta discloses the method discussed above in claim 28, and further teaches that the communication result file comprises a plurality of communication results (see Figs. 7 and 9, column 13, line 66 through column 14, line 63).

Regarding **claim 30**, Ohta discloses the method discussed above in claim 28, and further teaches that the communication result file comprises at least one of a communication date and a destination associated with a communication result (see Figs. 7 and 9, column 13, line 66 through column 14, line 63).

Regarding **claim 31**, Ohta discloses the method discussed above in claim 28, and further teaches of generating a communication result as the structured document to update the communication result file in the memory when the e-mail transmission is performed (column 12, line 34 through column 13, line 28).

Regarding **claim 32**, Ohta discloses the method discussed above in claim 28, and further teaches of transmitting a main file (column 14, lines 47 through 63), including a mark indicating a request for the communication result file, to the terminal apparatus before transmitting the communication result file (column 11, lines 29 through 46, and column 14, lines 47 through 63).

Regarding **claim 33**, Ohta discloses the method discussed above in claim 32, and further teaches that the request for the communication result file is performed by clicking the mark on the main file at the terminal apparatus (column 11, lines 29 through 46, and column 14, lines 47 through 63).

Regarding **claim 34**, Ohta discloses the method discussed above in claim 28, and further teaches that the communication result file is stored in the memory as a HTML file (column 12,



Art Unit: 2622

line 50 through column 13, line 8, column 13, lines 41 through 57, and column 14, lines 24 through 46).

Regarding *claim 35*, Ohta discloses a method for checking a communication result of a communication apparatus (facsimile apparatus 2, seen in Figs. 1 and 2) connected to a terminal apparatus (client terminals 4a and 4b, column 5, lines 18 through 37) via a network (see abstract), with the method comprising performing a facsimile transmission to a destination via a telephone network (network controller 31, column 7, line 59 through column 8, line 18, and column 11, line 47 through column 12, line 33), storing a communication result file (history report) including a communication result of the facsimile transmission performed (see Fig. 7, column 12, line 60 through column 13, line 8, and column 13, line 58 through column 14, line 22), into a memory (hard disk drive 25, column 7, lines 11 through 25), the communication result file being stored in the memory as a structured document (see Figs. 7 and 9, column 13, line 58 through column 14, line 22), and transmitting the communication result file to the terminal apparatus when a request for the communication result file is received from the terminal apparatus (see Fig. 14, column 18, lines 20 through 51), the communication result file being displayable at the terminal apparatus (column 18, lines 52 through 64).

Regarding *claim 36*, Ohta discloses the method discussed above in claim 35, and further teaches that the communication result file comprises at least one of a communication date, a destination, a number of sheets, a communication duration time and a charge, associated with a communication result (see Figs. 7 and 9, column 13, line 66 through column 14, line 63).

*Citation of Pertinent Prior Art*

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

**Sato et al.** (U.S. Patent Number 6,230,189) discloses a system that allows a terminal to view a communications history web page.

*Conclusion*

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joe Pokrzywa whose telephone number is (703) 305-0146. The examiner can normally be reached on Monday-Friday, 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edward L. Coles can be reached on (703) 305-4712. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9314 for regular communications and (703) 872-9314 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 306-0377.

J.R.P.

Joseph R. Pokrzywa  
Examiner  
Art Unit 2622

jrp  
February 21, 2003

*MaDeleine Nguyen*

**MADELEINE NGUYEN  
PATENT EXAMINER**

AU 2622